



**Investors**europe

## INVESTMENT SERVICES GENERAL CONDITIONS & POLICIES

FOR @†-α\ ko-yk\ h- AND INTRODUCING BROKERS

Effective February 2, 2010

PLEASE ENSURE THAT ALL PARTS OF THIS MANUAL ARE READ AND UNDERSTOOD COMPLETELY. EMPLOYEES, ASSOCIATED PERSONS, INDEPENDENT CONTRACTORS, ACTIVE PRINCIPALS, INDEPENDENT INTRODUCING BROKERS/REFERRING AGENTS, AND MONEY MANAGERS WILL NOT BE PERMITTED TO CONDUCT BUSINESS WITH @†-α\ ko-yk\ h- UNLESS THEY SIGN THE FOLLOWING ACKNOWLEDGEMENT. THE ORIGINAL SIGNED ACKNOWLEDGEMENT MUST BE PROVIDED TO @ COMPLIANCE DEPARTMENT WITHIN FIVE (5) DAYS OF RECEIPT.

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Registration No.

THIS MANUAL IS A CONFIDENTIAL AND PRIVILEGED DOCUMENT. DISCLOSURE OR REPRODUCTION OF ANY PART OF THIS MANUAL IS STRICTLY PROHIBITED WITHOUT THE PRIOR WRITTEN CONSENT OF @ THE HOLDER OF THIS MANUAL SHALL PROMPTLY RETURN IT TO ENISI UPON REQUEST.

EMPLOYEES, ASSOCIATED PERSONS, INDEPENDENT CONTRACTORS, ACTIVE PRINCIPALS AND INDEPENDENT INTRODUCING BROKERS' RECEIPT AND ACCEPTANCE OF ENISI'S OPERATIONAL MANUAL.

I, \_\_\_\_\_, (Print Name) being an employee, associated person, independent contractor, independent introducing broker, referring agent, money manager or white/private label associate (circle applicable category) of @†-α\ ko-yk\ h- hereby confirm that I have read, understood, and agree to follow the policies and procedures set forth within the @ Compliance Manual.

\_\_\_\_\_  
SIGNATURE OVER PRINTED NAME

\_\_\_\_\_  
DATE